

# ARIA GLOBAL DIVIDEND VALUE PLUS SHARE CLASSES B4 (EUR / GBP / USD)



A SUB-FUND OF ARIA SICAV PLC

## KEY INVESTOR INFORMATION

This document provides you with key investor information about this Sub-Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Sub-Fund. You are advised to read it so you can make an informed decision about whether to invest.

### ARIA GLOBAL DIVIDEND VALUE PLUS SHARE CLASSES B4 (EUR / GBP / USD)

ISIN CODES:	EUR MT7000020228
	GBP MT7000020236
	USD MT7000020244

The Sub-Fund is a self-managed scheme which has appointed Absolute Return Investment Advisers (ARIA) Limited as Investment Manager.

## OBJECTIVES AND INVESTMENT POLICY

### Objectives:

The objective of ARIA Global Dividend Value Plus Fund is to provide income with potential for capital growth primarily through investment in equity and equity related instruments.

The Sub-Fund aims to achieve its investment objective by investing primarily in a portfolio of blue chip equities globally by pursuing the strategy detailed below. The Sub-Fund will also use derivatives to generate additional income. It is not proposed to concentrate investments in any one geographical region or sector.

### Investment Policy:

The Investment Manager seeks to exploit a portfolio of high quality blue chip equities with above average, sustained dividend yields with additional income generated through a systematic selling of listed, liquid puts and calls on major developed market indices including the FTSE 100, Eurostoxx 50 and S&P 500.

Global equities are selected employed a systematic, quantitative stock selection process that seeks to identify blue chip companies as defined with a 'value' bias according to recognized 'equity valuation metrics'. The equity universe is defined by the FTSE 100, Eurostoxx 50 and SP500 equities.

Additional income is generated by a derivative overlay which describes a strategy which alternates between selling put and call options, on the S&P 500, Eurostoxx 50 and FTSE 100 Index.

### Benchmarks:

1M LIBOR +2%

### Dealing Frequency:

Investors may subscribe and redeem to units on a weekly basis.

### Distribution Policy:

Any Income arising from the Sub-Fund will be reinvested, although it is the intention to pay dividends on a quarterly basis in Share Class A4, B4, C4 and D4.

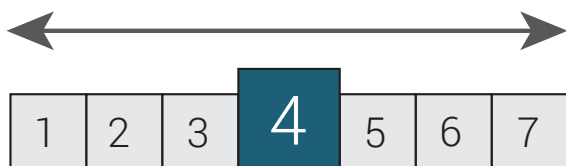
## RISK AND REWARD PROFILE

### Lower Risk

Typically lower rewards

### Higher Risk

Typically higher rewards



This indicator shows how much a Sub-Fund may have risen and fallen in the past and therefore how much a Sub-Fund's returns may have varied. It is a measure of a Sub-Fund's volatility. The higher a Sub-Fund's past volatility, the higher the number on the scale and the greater the risk that investors in this Sub-Fund may have made losses as well as gains. The lowest number on the scale does not mean the Sub-Fund is risk free.

The indicator is based on historical, simulated data and may not be a reliable indication of the future risk profile of this Sub-Fund.

The Sub-Fund has been classed as 4 because its volatility over 5 years has been measured as average and because of the extent to which the following factors apply:

**Instrument risk:** The Sub-Fund invests in securities that may go up or down daily for a variety of reasons including changes in interest rates, inflation expectations, perceived credit quality of the issuer, perceived value of underlying instrument or positive or adverse news.

**Currency risk:** As the Sub-Fund invests in overseas securities, movements in exchange rates may, when not hedged, cause the value of your investment to increase or decrease.

**Counterparty risk:** As the Sub-Fund may enter into derivative agreements there is a risk that other parties may fail to meet their obligations. This may lead to delays in receiving amounts due to the Sub-Fund, receiving less than is due or receiving nothing.

All investment involves risk. This Sub-Fund offers no guarantee against loss or that the Sub-Fund's objective will be attained. For a more comprehensive list of Risk Factors please refer to the Offering Supplement of the Sub-Fund. The Sub-Fund uses the Absolute Value-at-Risk (VaR) approach to measure its global exposure. Please note that the Share Class risk category is not guaranteed and may change from time to time.

## CHARGES

One-off charges taken before or after you invest	
Entry charge	No Fees
Exit charge	No charge <sup>1</sup>
Authorised Distributor Fee	7.0% p.a. <sup>2</sup>
This is the maximum that might be taken out of your money before it is invested. <sup>1</sup> Please note an early redemption penalty fee applies when the investor redeems the investment holding before seven (7) years. <sup>2</sup> The Authorised Distribution Fee shall be amortised over a maximum 84 month period (a 12 month period for each 1% of Authorised Distribution Fee levied) against the assets of the Sub-Fund attributable to the individual shareholder.	
Charges taken from the Sub-Fund over a year	
On-going charges	Not more than 2.8% <sup>3</sup>
Charges taken from the UCITS <sup>4</sup> under certain specific conditions	
Performance fee	20% any gain above the Sub-Fund's benchmark rate at each valuation day subject to a new high water mark.

The charges you pay are used to pay the costs of running the Sub-Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

Any entry charge shown is a maximum figure. Where charges are shown, in some cases you might pay less. You can find this out from your financial intermediary or adviser.

<sup>3</sup> The on-going charges figure is based on an estimate of the coming year with assets under management of £5,000,000 within the Sub-Fund.

For more information about the Sub-Fund's specific charges, please see the relevant sections of the Prospectus and the Sub-Fund's Supplement.

<sup>4</sup> UCITS- Undertakings for Collective Investment in Transferable Securities.

## PAST PERFORMANCE

Because the share class has less than one calendar year's performance, there is insufficient data to provide a useful indication of past performance.

- Launch date for the Sub-Fund: 16th October 2017.
- Past performance is not a guide to future performance.

## PRACTICAL INFORMATION

### Depository

The Custodian of the Sub-Fund is Sparkasse Bank Malta Plc, 101 Townsquare, Ix-Xatt ta' Qui-si-Sana, Sliema SLM3112, Malta.

### Further Information

Prospectus, annual report etc. are available free of charge from SGGG Fexserv Fund Services (Malta) Ltd. Alpine House, 34, Naxxar Road, San Gwann. Malta SGN9032.

### Tax Legislation

The Maltese tax regime may have an impact on your personal tax position and there may be tax implications in your country of residence and/or origin.

### Liability

ARIA SICAV PLC may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus for the Sub-Fund. No guarantee is provided in relation to the level of returns.

### Switches

Share Class B4 is only available to existing clients of ARIA's discretionary service invested via the ARIA Investment Platform. This means that Share Class B4 is available to those existing clients of ARIA whose investments are held in custody with ARIA through SEI Global Nominees being the current custodian. Share Class B is not and will not be available to any other custodian or execution venues.

Investors may wish to note that there are nine other share classes available to the investors, Classes A4, Classes B4 and Classes D4 shares. You may wish to refer to the applicable KIID for further information on such share classes.

### Remuneration Policy

Details of the remuneration policy are available upon request.

The Sub-Fund is licensed in Malta and regulated by the Malta Financial Services Authority. Absolute Return Investment Advisers (ARIA) Limited is licensed in the United Kingdom and regulated by the Financial Conduct Authority with firm reference number 527557. This key investment information is accurate as at the 10th May 2017.